



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kohls et al.
Serial No: 09/228,909
Group Art No: 2765
Examiner: Pedro R. Kanof
Filed: 1/11/99
For: TRADING SYSTEM FOR FIXED VALUE CONTRACTS

Winchester, Massachusetts
October 13, 2000

Certificate of Mailing

Date of Deposit: October 13, 2000 Label Number: *EL 466 816 489 HS*
I hereby certify that this paper is being deposited with the United States Postal Service as
"Express Mail Post Office to Addressee" Service under 37 C.F.R. 1.10
Date: *[Signature]* Philip G. Koenig, Reg. No. 31,386

AMENDMENT

Honorable Commissioner of Patents
Washington, D.C. 20231

Sir:

Responding to the Official Action mailed May 17, 2000, please amend the above-identified application as follows:

IN THE CLAIMS

Please cancel claim 27 and claims 31-34.

Please amend the preamble of each of independent claims 1, 11, and 17, respectively as follows:

- a* 1. (amended) A trader-controlled trading system that employs a trading instrument having a fixed value and two opposing sides
- a* 11. (amended) A trader-controlled trading system . . .
- a* 14. (amended) A trader-controlled trading method . . .
- a* 17. (amended) A trader-controlled trading method . . .

RECEIVED
OCT 25 2000
TC 2100 MAIL ROOM

RECEIVED
NOV 29 2000
Group 2100

RECEIVED
OCT 30 2000
TECHNOLOGY CENTER 3700

RECEIVED
NOV 15 2000
TC 3700 MAIL ROOM